#### Integers

n	$2^n$	Hex	Binary	n	$2^n$
0	1	0x00	00000	17	131072
1	2	0x01	00001	18	262144
2	4	0x02	00010	19	524288
3	8	0x03	00011	20	1048576
4	16	0x04	00100	21	2097152
5	32	0x05	00101	22	4194304
6	64	0x06	00110	23	8388608
7	128	0x07	00111	24	16777216
8	256	0x08	01000	25	33554432
9	512	0x09	01001	26	67108864
10	1024	OxOA	01010	27	134217728
11	2048	0x0B	01011	28	268435456
12	4096	0x0C	01100	29	536870912
13	8192	OxOD	01101	30	1073741824
14	16384	0x0E	01110	31	2147483648
15	32768	0x0F	01111	32	4294967296
16	65536	0x10	10000	33	8589934592

- Word size nominal size of pointer data
- Addresses go up to  $2^w 1$  for w-bit word size
- Little Endian least significant byte comes first
- **Big Endian** most significant byte comes first
- Example of storing 0x1234567 at 0x100

	0x100	0x101	0x102	0x103
Big endian	01	23	45	67
Little endian	67	45	23	01

- Arithmetic between signed and unsigned values automatically casts all signed values to unsigned
- $-TMin_w = TMin_w$
- $\lceil x/2^k \rceil$  is given by  $(x + (1 \iff k) 1) \gg k$
- $x/2^k$  is given by (x<0 ? x+(1<<k)-1 : x) >> k

	Word size $w$					
Value	8	16	32			
$UMax_w$	OxFF	OxFFFF	OxFFFFFFFF			
	255	$65,\!535$	$4,\!294,\!967,\!295$			
$TMin_w$	0x80	0x8000	0x80000000			
	-128	-32,768	-2, 147, 483, 648			
$TMax_w$	0x7F	0x7FFF	0x7FFFFFFF			
	127	32,767	2, 147, 483, 647			
-1	0xFF	OxFFFF	OxFFFFFFFF			

#### **Bitwise Operations**

- Logical shift Fills left end with zeros
- Arithmetic shift Sign-extends left end

~		&	0	1			0	1		^	0	1
0	1	0	0	0	-	0	0	1	-	0	0	1
1	0	1	0	1		1	1	1		1	1	0

#### **Floating Point**

- Floating point lacks associativity
- $V = (-1)^s \times M \times 2^E$
- Sign bit *s* whether the number is positive or negative, represented by 1-bit field
- Exponent *E* weights the value by a possibly negative power of 2, represented by *k*-bit exp field
- Significand (mantissa) M fractional binary number between 1 and 2 –  $\varepsilon$  or between 0 and 1 –  $\varepsilon$ , represented by *n*-bit frac field  $(f_{n-1} \cdots f_1 f_0)$
- Normalized values
  - Most common case
  - exp is neither all zeros nor all ones
  - Exponent field represents biased signed integer
  - -E = e-Bias where e is the unsigned number in exp and Bias  $= 2^{k-1} - 1$
  - frac represents  $0 \le f < 1$  with  $0.f_{n-1} \cdots f_1 f_0$  and M = 1 + f implied leading 1
- Denormalized values
  - Exponent field all zeros
  - Exponent value is E = 1-Bias, significand value is M = f (no leading 1)
  - Numbers close to zero (inclusive), evenly spaced near 0.0
- Special values
  - Exponent field is all ones
  - − Fraction field all zeros can represent  $\pm\infty$ , depending on sign bit
  - Nonzero fraction field is NaN
- Rounding
  - Rounds to the nearest even
  - BBGRXXXX
  - G Guard bit; least significant bit of result
  - R Round bit; first bit removed
  - XXXX Sticky bit; OR of remaining bits
  - Round up conditions:
    - \* Round = 1, Sticky =  $1 \rightarrow > 0.5$
    - \* Guard = 1, Round = 1, Sticky =  $0 \rightarrow$  Round to even
- Multiplication

$$\begin{array}{l} - (-1)^{s_1} M_1 2^{E_1} \times (-1)^{s_2} M_2 2^{E_2} \\ = (-1)^{s_1 \hat{s}_2} (M_1 \times M_2) 2^{E_1 + E_2} \end{array}$$

- If  $M_1 \times M_2 = M \ge 2$  shift M right, increment  $E = E_1 + E_2$
- If E out of range, overflow
- Round M to fit frac precision

## x86-64 Data Alignment

- Internal padding added between struct elements
- External padding added after struct elements
- The entire struct is externally padded to align to its largest element

K	Types					
1	char					
2	short					
4	int, float					
8	long, double, char *					

## Caches

- $M = 2^m$  unique addresses of m bits
- $S = 2^s$  cache sets
- Each set consists of E cache lines
- Each line consists of a data block of  $B = 2^b$  bytes, a valid bit and t = m (b + s) tag bits
- Capacity of a cache is  $C = S \times E \times B$
- Address

t bits	s bits	b bits
$\leftarrow m-1$		$0 \rightarrow$
Tag	Set index	Block offset

- Direct-mapped cache has one line per set (E = 1)
- Non-direct caches sometimes referred to as *E*-way set associative cache
- Fully-associative cache has one set (E = C/B).

# **Conditional Control**

- Carry flag (CF) most recent op generated carry of most significant bit, detects overflow for unsigned
- Zero flag (ZF) most recent op yielded zero
- Sign flag (SF) most recent op yielded negative value
- $\bullet\,$  Overflow flag (OF) most recent op caused two's complement overflow
- test instruction behaves like and instructions but sets condition codes without altering source or destination often see testq %rax,%rax to check if return val is neg, zero, or pos

set	D	and	jmp	$\operatorname{suffixes}$
-----	---	-----	-----	---------------------------

Instruction	Syn.	Cond.	Desc.
-е	-z	ZF	= /0
-ne	-nz	~ZF	! = /not zero
-s		SF	Neg
-ns		~SF	Nonneg
-g	-nle	~(SF^OF)&~ZF	signed >
-ge	-nl	~(SF^OF)	signed $=>$
-1	-nge	SF^OF	signed $<$
-le	-ng	(SF^OF) ZF	signed $\leq=$
-a	-nbe	~CF&~ZF	unsigned >
-ae	-nb	~CF	unsigned $>=$
-b	-nae	CF	unsigned $<$
-be	-na	CF   ZF	unsigned $<=$

## Assembly Basics

- "word" refers to 16-bit data type, with "double word" referring to 32-bit (int) and 64-bit quantities referred to as "quad words"
- On 64-bit machines pointers are 8-byte quad words
- 16 general purpose registers storing 64-bit values (register file)
- In operands, scaling factor s must be either 1, 2, 4, or 8
- mov S, D has the effect of  $S \to D$
- movzbq moves from byte to quad with zero-extended whereas movsbq does the same but sign-extended
- Stack grows down if increasing addresses grow up "top" of the stack at the bottom
- leaq S, D has the effect of  $\&S \to D$

Type	Form	Operand value
Immediate	\$Imm	Imm
Register	$r_a$	$R[r_a]$
Memory	$Imm(r_b, r_i, s)$	$M[Imm + R[r_b] + R[r_i] \cdot s]$

Type	64-bits	32-bits	16-bits	8-bits
Return val	%rax	%eax	%ax	%al
Callee	%rbx	%ebx	%bx	%bl
1st arg	%rdi	%edi	%di	%dil
2nd arg	%rsi	%esi	%si	%sil
3rd arg	%rdx	%edx	%dx	%dl
4th arg	%rcx	%ecx	%cx	%cl
5th arg	%r8	%r8d	%r8w	%r8b
6th arg	%r9	%r9d	%r9w	%r9b
Callee	%rbp	%ebp	%bp	%bpl
Stack ptr	%rsp	%esp	%sp	%spl
Caller	%r10	%r10d	%r10w	%r10b
Caller	%r11	%r11d	%r11w	%r11b
Callee	%r12	%r12d	%r12w	%r12b
Callee	%r13	%r13d	%r13w	%r13b
Callee	%r14	%r14d	%r14w	%r14b
Callee	%r15	%r15d	%r15w	%r15b

### Linking

- Relocatable object files combine with other relocatables at compile time to create executables, made by compiler and assembler
- Executable object files contain binary data that can be directly copied to memory and executed, made by linker
- Shared object files special relocatable objects that can be linked dynamically at load or run time
- Static symbols defined locally to an object file (module)
- Global symbols defined locally and referred to elsewhere
- Externals Global symbols referenced locally but defined elsewhere
- Local linker symbols are different from local program variables
- Functions and initialized global variables are exported to the assembler as strong by the compiler
- Uninitialized global variables are weak
- Linkers error on multiple same-name strong symbols, pick strong over weak, and randomly choose from weak symbols

### Processes

- getpid(void) pid\_t of the process
- getppid(void) pid\_t of the parent process
- Processes are running, stopped, or terminated
- exit(int status) called once, never returns
- fork(void) pid\_t of child in parent or 0 if in child; called once, returns twice
- Open file table and vnode table are managed by OS and shared across processes, file descriptor table is process-specific
- waidpid(pid\_t pid, int \*statusp, int options) returns pid\_t of child, 0 if not waiting (WNOHANG) or error
  - WNOHANG return (0) immediately and don't wait for child
  - WUNTRACED check for terminated and stop children
  - WCONTINUED check for waiting (child) process to be continued
  - OR (1) flags together to form a bit vector
  - WIFEXITED(status) whether child exited normally
  - WEXITSTATUS(status) returns exit status of terminated child if WIFEXITED is true
  - WIFSIGNALED & WTERMSIG as above but for signals
  - WIFSTOPPED & WSTOPSIG & WIFCONTINUED as above for stopped/continued processes
- wait(int \*statusp) ≅ waitpid(-1, &status, 0)
- sleep(unsigned int secs) returns short counts
- pause(void) sleeps until a signal is received
- execve(char \*filename, char \*argv[], char \*envp[]) - does not return unless error; last arg in each array is NULL

## Virtual Memory

- $N = 2^n$  addresses in *n*-bit virtual address space
- $M = 2^m$  addresses in *m*-bit physical address space (not necessarily power of 2)
- $P = 2^p$  bytes per virtual/physical page
- Virtual pages are either unallocated, cached (allocated in PM), or uncached (allocated, not in PM)
- DRAM caches are often fully associative
- Page table maps virtual pages to physical pages
- Valid bit in PTE set indicates cached in PM, rest indicates virtual or physical address (depending on valid bit)
- Page fault is DRAM cache miss; triggers exception
- Translation lookaside buffer (TLB) is a cache of PTEs; each line holds a block with one PTE; highly associative
- TLB has  $T = 2^t$  sets
- Virtual address

V	irtual 1	Page Number	
$\leftarrow r$	n-1	$\leftarrow p + t \mid p \rightarrow$	$0 \rightarrow$
TLE	3 Tag	TLB index	Virtual page offset

# Dynamic Memory Allocation

• sbrk(intptr\_t incr) - extend the heap by incr (basically just an int) and return the old break pointer

### Signals

- Default action of SIGCHLD, SIGCONT, SIGSTOP, SIGTSTP is to ignore and stop (respectively per pair)
- Signals can be sent to process groups; child inherits parent process group by default; setpgid(0, 0) sets the process group ID to the current process id
- kill(pid\_t pid, int sig) send a sig to pid, unless pid is 0 then send it to every process in the process group of the calling process; if pid < 0, send to every process in process group |pid|
- signal(int sig, void \*hndlr\_t (int) handler) handle sig with handler function pointer; handler can be SIG\_IGN to ignore or SIG\_DFL for default
- sigprocmask(int how, sigset\_t \*set, sigset\_t \*oldset)
  - SIG\_BLOCK blocked = blocked | set
  - SIG\_UNBLOCK blocked = blocked & set
  - SIG\_SETMASK blocked = set
  - Old bit vector stored in oldset (a.k.a. prev)
- sigemptyset(sigset\_t \*set)
- sigfillset(sigset\_t \*set)
- sigaddset(sigset\_t \*set, int sig) add sig
- sigdelset(sigset\_t \*set, int sig) delete sig
- Rules for signal handlers
  - 1. Keep them simple
  - 2. Only call async-signal-safe functions (reentrant or uninterruptible)
  - 3. Save and restore  ${\tt errno}$
  - 4. Block all signals
  - 5. Declare global variables with volatile force memory read each time (no storage in registers)
  - 6. Declare flags with  $sig_atomic_t atomic r/w$
- Signals are **not** queued
- sigsuspend(sigset\_t \*mask) atomically replace blocked with mask and suspend until handler returns after receipt of a signal, then restore blocked

## Concurrency with Threads

- pthread\_create(pthread\_t \*tid, NULL, void \*func(void \*), void \*arg) - run func with arg in a new thread, joinable by default
- pthread\_self(void) return current thread id
- pthread\_exit(void \*return) exit the current thread
- pthread\_cancel(pthread\_t tid) terminate another thread without waiting
- pthread\_join(pthread\_t tid, NULL) block and wait for the thread with tid to terminate
- pthread\_detach(pthread\_t tid) make thread tid detached (not joinable), often called on self
- Threads share everything in memory except for registers and stack, though they can access addresses in other thread stacks

# System I/O

Scratch work:

- open(char \*filename, int flags, mode\_t mode) returns file descriptor
  - O\_RDONLY, O\_WRDONLY, O\_RDWR flags
  - O\_CREAT create a new file if it doesn't exist
  - O\_TRUNC truncate the file if it exists
  - $\texttt{O\_APPEND}$  before write, set file pos. to end of file
  - Mode given by OR (|) combination of
  - $S_I{R, W, X}{USR, GRP, OTH}$
  - Each call creates new open file table entry
- read(int fd, void \*buf, size\_t n) read up to n bytes into buf and return the number of bytes actually read, update file descriptor table position by return value
- write(int fd, void \*buf, size\_t n) write up to n bytes from buf, return the number of bytes actually written, update file descriptor table position by return value
- Each process has unique descriptor table pointing to entries in global file table
- OS maintains open file table shared by all processes, each entry has file position, ref count, and pointer to v-node table
- OS maintains v-node table with information about each file
- Parent and child process must both close file descriptors for kernel to remove file table entry
- dup2(int oldfd, int newfd) copies descriptor entry oldfd to newfd, overwriting newfd (closes newfd if open); *i.e.*, newfd entry points to oldfd entry

### **Thread Synchronization**

- sem\_init(sem\_t \*sem, 0, int val) initialize lock
- sem\_wait(sem\_t \*sem) P(sem); block until get lock
- sem\_post(sem\_t \*sem) V(sem); release lock
- In producer-consumer solution, producer produces whenever there is space in buffer, and consumer consumes whatever is there as fast as it can
- First readers-writers problem favors readers
- Second readers-writers problem favors writers
- Readers-writers solutions can result in starvation
- Deadlock threads are waiting for condition that will never be true

## **Network Programming**

- socket(int domain, int type, int protocol) usually AF\_INET, SOCK\_STREAM, 0, respectively; create endpoint of connection
- connect(int clientfd, struct sockadd \*addr, socklen\_t addrlen) - establish connection with a server at addr (client)
- bind(int sockfd, struct sockaddr \*adrr, socklen\_t addrlen) - associate server socket address with given socket descriptor (server)
- listen(int sockfd, int backlog) set sockfd to actively listen (server)
- accept(int listenfd, struct sockaddr \*addr, int \*addrlen) - block until a connection is made then return file descriptor for connection

Created for 15-213 at Carnegie Mellon University in Spring 2019 by Jacob Strieb. jstrieb@alumni.cmu.edu https://git.io/JcZ29